INVESTOR AWARENESS

Stay informed. Stay vigilant. Make informed investment decisions

At JioBlackRock Broking Private Limited (JBBPL), we encourage investors to stay informed, exercise due diligence and make investment decisions through regulated channels. As part of SEBI's Project Jagrook investor awareness initiative, investors are encouraged to remain alert to unregulated activities, misleading investment claims and fraudulent practices, and to be aware of the grievance redressal mechanisms available to them. A few such misleading/ fraudulent practices prevalent in the capital market are illustrated below:

1. Dabba Trading

Dabba Trading is an illegal and unregulated form of trading. In dabba trading, traders place deals in securities without the trades actually being executed on any official SEBI recognized stock exchange. These trades are settled internally by the dabba operator and are outside the purview of stock exchanges and regulatory bodies. Since these trades are not executed on official stock exchange platforms, investors can not avail grievances redressal mechanism of stock exchanges.

Investors should trade only through SEBI-registered stock brokers and recognised stock exchanges. Transactions undertaken outside the recognised stock exchange mechanism may not provide investors with the protections available within the regulated securities market.

Investors should verify the registration and credentials of intermediaries before undertaking any securities market transaction and avoid unauthorised or unofficial trading arrangements.

2. Collective Investment Schemes: Do Not Invest on Hearsay

Investors should exercise caution before investing in any Collective Investment Scheme (CIS) or similar investment opportunity. Investment decisions should not be based solely on hearsay, rumours, unsolicited messages, social media tips or claims of attractive returns, or promises of unrealistically high returns within a short period of time. Such offers may be indicative of investment scams, fraudulent schemes, or other misleading investment opportunities.

Investors should independently verify the credentials and regulatory status of the entity or scheme, understand the nature and associated risks of the investment, and conduct appropriate due diligence before investing. Investors may also consider seeking advice from a SEBI-registered Investment Adviser before making any investment decision. Verify before you invest.

3. SEBI Grievance Redressal – SCORES

Investors should first approach the concerned intermediary or entity for resolution of their grievance. Where the grievance continues to remains unresolved, investors may approach concerned Stock Exchanges or Depository or SCORES or SEBI's online grievance redressal facilitation platform, as applicable.

Investors may access SCORES at: **https://scores.sebi.gov.in** .

Investors are encouraged to retain relevant records and supporting documents relating to their grievance.

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4. Caution Against Unrealistic Returns

Investors should be cautious of investment opportunities that promise assured, guaranteed or unrealistically high returns in short time.

Investment decisions should be taken after understanding the nature of the product and the associated risks. Investors should verify the credentials of the person or entity offering the investment and should not make investment decisions merely on the basis of unsolicited tips, promotional claims or pressure to invest quickly. Investors may also consider seeking advice from a SEBI-registered Investment Adviser before making any investment decision.

If an investment opportunity appears too good to be true, stay alert and verify before investing.

5. Say Goodbye to Physical Cheques with ASBA

Investors applying for public issues can use ASBA (Application Supported by Blocked Amount) instead of making payment through physical cheques.

Under the ASBA mechanism, the application amount is blocked in the investor's bank account in connection with the application rather than being paid through a physical cheque. Use ASBA for a convenient way to apply for public issues.

For further investor awareness information and information in vernacular languages, please visit the **SEBI Investor website**.

Need Assistance?

SEBI Toll-Free Helpline: 1800-22-7575 | 1800-266-7575.

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